



KIN

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

According to the Law of the Republic of Latvia „On the Prevention of Laundering of Proceeds Derived from Criminal Activity and Terrorism Financing., the Bank is entitled to request from its clients, and clients are obliged to provide to the Bank the true information and documents necessary for investigation of the client, including information and documents on the true beneficiaries, transactions accomplished by clients, the economic, personal activities, financial standing, sources of money or other funds of clients and the true beneficiaries. According to the currently in force law of LR the client shall be held legally responsible for providing false information. All information provided to the Bank is strictly confidential except for the cases provided for by the law of LR.

**1. The Client**

1.1. Company name: \_\_\_\_\_

1.2. The address where economic activity is conducted: \_\_\_\_\_  
\_\_\_\_\_

1.3. Postal address: \_\_\_\_\_

1.4. Contact telephone number: \_\_\_\_\_ Fax: \_\_\_\_\_

1.5. E-mail address: \_\_\_\_\_

1.6. Please indicate, if officers, shareholders or true beneficiaries of your company are politically exposed persons?  no  yes \_\_\_\_\_  
(provide name, surname, kinship, position held)

1.7. Information about contact persons:

	1st contact person	2nd contact person
Name, surname	_____	_____
Position:	_____	_____
Telephone/Fax:	_____	_____
E-mail address:	_____	_____

**2. Information about the client's economic activities:**

2.1. Main directions:

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> wholesale trade | <input type="checkbox"/> mediation               | <input type="checkbox"/> precious metals and precious stones trade |
| <input type="checkbox"/> retail trade    | <input type="checkbox"/> organizing of gambling  | <input type="checkbox"/> provision of money services               |
| <input type="checkbox"/> manufacture     | <input type="checkbox"/> collection services     | <input type="checkbox"/> provision of reinsurance services         |
| <input type="checkbox"/> agriculture     | <input type="checkbox"/> woodworking             | <input type="checkbox"/> communications                            |
| <input type="checkbox"/> construction    | <input type="checkbox"/> securities transactions | <input type="checkbox"/> other (indicate): _____                   |

2.2. Detailed description of economic activities type

2.2.1. A short description of economic activities

(depending on the main directions of economic activities marked off in item 2.1 please specify place of conducting operations, objects, products/goods, separate structural units etc.):

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_

(name, surname)

\_\_\_\_\_

(signature)

\_\_\_\_\_

(date, place)

# QUESTIONNAIRE

(legal person)

2.2.2. Main business partners (provide names, registration countries, other useful information):

2.2.3. Countries where from/to payments will be received/sent:

- |  |                                      |                                   |  |                                     |
|--|--------------------------------------|-----------------------------------|--|-------------------------------------|
| <input type="checkbox"/> Afghanistan       | <input type="checkbox"/> Philippines | <input type="checkbox"/> Israel   | <input type="checkbox"/> China           | <input type="checkbox"/> Nigeria    |
| <input type="checkbox"/> USA               | <input type="checkbox"/> India       | <input type="checkbox"/> Japan    | <input type="checkbox"/> Lebanon         | <input type="checkbox"/> Pakistan   |
| <input type="checkbox"/> The Baltic States | <input type="checkbox"/> Indonesia   | <input type="checkbox"/> Columbia | <input type="checkbox"/> Libya           | <input type="checkbox"/> Ukraine    |
| <input type="checkbox"/> EU countries      | <input type="checkbox"/> Iraq        | <input type="checkbox"/> Russia   | <input type="checkbox"/> UK              | <input type="checkbox"/> Uzbekistan |
| <input type="checkbox"/> Egypt             | <input type="checkbox"/> Iran        | <input type="checkbox"/> Cuba     | <input type="checkbox"/> Myanmar (Burma) | <input type="checkbox"/> Venezuela  |
| <input type="checkbox"/> Other (specify):  |                                      |                                   |  |                                     |

2.3. Does the company's business require a license?  no  yes (the client must submit a copy of the license)

2.4. Number of employees in the company: \_\_\_\_\_

### 3. Origin of funds used for conduct of economic activities (for non-residents of the Republic of Latvia):

### 4. Does the client have accounts with other banks:

no  yes (provide name of the bank and country): \_\_\_\_\_

### 5. Reason for opening a bank account in Latvia (for non-residents of the Republic of Latvia):

### 6. Information about transactions planned with the Bank:

6.1. The Bank's services the Client plans to use:

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> cash deposit/withdrawal                        | <input type="checkbox"/> savings and deposits        | <input type="checkbox"/> securities transactions                  |
| <input type="checkbox"/> lease of individual safe                       | <input type="checkbox"/> loans                       | <input type="checkbox"/> trust operations                         |
| <input type="checkbox"/> purchase/sale of foreign currency              | <input type="checkbox"/> letters of credit           | <input type="checkbox"/> consultations about financial activities |
| <input type="checkbox"/> transfers of salaries                          | <input type="checkbox"/> international trade finance |   |
| <input type="checkbox"/> payment cards (specify number of cards): _____ |  |   |
| <input type="checkbox"/> other (specify): _____                         |  |   |

\_\_\_\_\_ (name, surname)

\_\_\_\_\_ (signature)

\_\_\_\_\_ (date, place)

# QUESTIONNAIRE

(legal person)

6.2. Regular monthly average turnover planned in the current account with the Bank (specify the amount in LVL equivalent):

6.2.1. The expected regular monthly average turnover of transfers in accounts with the Bank:

incoming:

outgoing:

up to 50'000

up to 50'000

from 50'001 to 200'000

from 50'001 to 200'000

from 200'001 to \_\_\_\_\_

from 200'001 to \_\_\_\_\_

6.2.2. The expected monthly average cash turnover in accounts with the Bank:

deposit:

withdrawal:

up to 10'000

up to 10'000

from 10'001 to 50'000

from 10'001 to 50'000

from 50'001 to \_\_\_\_\_

from 50'001 to \_\_\_\_\_

Specify the sources of cash origin,  
if the declared amount of cash deposit exceeds 50'000 LVL equivalent.

Specify the use of cash,  
if the declared amount of cash withdrawal exceeds 50'000 LVL equivalent.

6.3. The planned transaction currencies:

LVL  USD  EUR  GBP  RUR  other (specify): \_\_\_\_\_

6.4. Do you plan to accomplish transactions on behalf of third parties?

no  yes (specify the reason / purpose): \_\_\_\_\_

6.5. If you plan to accomplish securities transactions and/or use trust management, indicate the planned value of financial instruments in LVL equivalent:

up to 200'000  over 200'000 (specify): \_\_\_\_\_

### The Client

Hereby I confirm that all my activities are within the law and origin of funds, deposited in the Account is legal, the Account and/or services provided by the Bank will not be used for any illegal purposes; and I undertake to accomplish no actions /transaction for the purpose of laundering of proceeds from crime or financing of terrorism. Hereby I confirm that information provided herein is complete and true. I undertake to inform the Bank in writing about any changes to the provided information. In the case the Client issues or is entitled to issue bearer shares (equity securities), the Client with his signature confirms his determination to inform the Bank in writing about any changes concerning change of the true beneficiaries of the Client.

\_\_\_\_\_  
(name, surname)

\_\_\_\_\_  
(signature)

\_\_\_\_\_  
(date, place)

Seal

\_\_\_\_\_  
(name, surname)

\_\_\_\_\_  
(signature)

\_\_\_\_\_  
(date, place)

### CSD employee:

The questionnaire was accepted and verified by me.

\_\_\_\_\_  
(position, name, surname)

\_\_\_\_\_  
(signature)

\_\_\_\_\_  
(date)

### CD employee:

The questionnaire was verified.

\_\_\_\_\_  
(position, name, surname)

\_\_\_\_\_  
(signature)

\_\_\_\_\_  
(date)